MENTAL HEALTH AND DOCTORS

WHY IT’S IMPORTANT TO LOOK AFTER YOURSELF AS WELL AS YOUR PATIENTS

PAGE 10

This issue...

FROM THE CASE FILES
The latest selection of case reports

OVERCOMING ADDICTION
A personal story of succumbing to the pressure of medicine

CHALLENGING INTERACTIONS WITH COLLEAGUES
How to maintain relationships and communicate effectively with colleagues
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In this edition of Casebook we have a particular focus on the importance of mental health and looking after yourself. Being a doctor is not only physically and intellectually demanding, but also emotionally draining. On page 10 Dr Muiris Houston looks at the stigma associated with admitting to having a mental health condition that is still prevalent amongst the medical profession, and on page 11 we hear from Dr Robin Moore, who shares his personal story of battling addiction and how he overcame it.

Meanwhile, on page 6 Dr Rachel Birch looks at communication between hospital doctors and their primary care colleagues, with a focus on test results and patient follow-up after a patient is discharged from hospital. This interaction is fraught with risky assumptions regarding who is responsible for what and the article provides practical advice to overcome these risks.

The case reports in this issue demonstrate yet again the importance of good history taking, performing appropriate examinations, communicating well with colleagues, and keeping full and complete clinical records. These themes are almost a permanent feature of our case reports, but this is because every day we see cases where a failure to do one or all of these has made it difficult for us to defend a claim brought against a member.

I hope you enjoy this edition. We welcome all feedback, so please do contact us with your comments or if you have any ideas for topics you’d like us to cover.

Dr Marika Davies
Casebook Editor-in-Chief
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NEW WORKSHOP LAUNCH FOR SECONDARY CARE

We are committed to supporting members in their practice, and part of this support is achieved through delivering a series of risk management workshops. These are designed by medical colleagues to provide insights, advice and skills to help you deliver improved patient care and reduce your medicolegal risk.

Secondary care practitioners can benefit from a new workshop called ‘Medical Records for Secondary Care Clinicians’. This workshop assists you in making and keeping good-quality medical records, thereby enabling you to:
- review your knowledge and understanding of why medical records are important
- recognise how records enhance clinical care
- appreciate some of the legal and regulatory requirements regarding record keeping
- update your knowledge of the principles of good record keeping
- enhance your skills in making good clinical entries to enable you to respond to a complaint or claim successfully.

Dr Gozie Offiah, Senior Clinical Lecturer at the Royal College of Surgeons of Ireland, delivers this workshop.

For more information, contact Medical Protection’s education team at education@medicalprotection.org or +44 (0)113 241 0696.

To discover the full range of workshops, visit medicalprotection.org/Ireland/workshops

ARE YOU UP TO DATE WITH THE IMC’S LATEST ETHICAL GUIDE?

Earlier this year, the Medical Council published its 8th Guide to Professional Conduct and Ethics for Registered Medical Practitioners.

The revised guidance includes new advice on some of the most relevant issues affecting patients and doctors, including open disclosure, social media and professionalism. To view the guide, visit medicalcouncil.ie/News-and-Publications

At Medical Protection we are currently reviewing our guidance to reflect these changes. The medicolegal advice team remains at hand to assist you with any claims, complaints or concerns. If you need to contact us please call +44 113 241 0200 or email querydoc@medicalprotection.org.

WRITE FOR CASEBOOK

Medical Protection is your organisation and we want you to be part of it. We are currently seeking new contributors to submit well-crafted and informative feature articles for Casebook. If you would like to have your writing published or if you have any ideas for content, contact the Casebook editorial team at casebook@medicalprotection.org.

HEALTH SERVICE COMPLAINTS RISING

According to the annual report of the Health Service Executive, patients are making more than 80 complaints a day as dissatisfaction with the health service continues to rise.

A total of 9,289 complaints, an increase of 11%, were made in 2015 about HSE services. Most complaints were related to access to services (3,257), safe and effective care (3,199) and poor communication and information (2,014).

To view the full report, visit hse.ie/eng/services/publications/

THE COMMITTEE ON THE FUTURE OF HEALTHCARE SET OBJECTIVES

The Special Committee on the Future of Healthcare has released its interim report outlining its proposed goals, work schedule and objectives.

In June 2016, the Committee was established with the aim of examining and costing potential future models of healthcare for Ireland.

The objectives identified by the Committee include:
1. To achieve cross-party consensus on a 10-year vision for the health service.
2. To develop an implementation plan as part of the Committee’s reporting process.
3. To establish what healthcare entitlements should be covered under an agreed definition of ‘Universal Healthcare’.
4. To outline the steps required to implement Universal Healthcare.
5. To develop a model of integrated healthcare with an emphasis on primary and community care.
6. To analyse future healthcare needs and to assess the resource implications of demographic trends and health-deprivation data.

The final report will be published in January 2017, visit oireachtas.ie/parliament/oireachtasbusiness
Medicolegal Adviser Dr Rachel Birch explores why effective communication between hospital doctors and GPs is essential for the safe handover of test results

From the patient’s point of view, there have been many improvements to healthcare services in recent years, including shorter hospital stays, clearer referral pathways and the use of electronic communication methods between primary and secondary care.

However, such improvements often come with new risks. For example, when a patient is discharged from hospital without all the test results being back, there may be uncertainty as to who will be following up those outstanding results. If a consultant asks for blood test monitoring, the GP requests the tests and copies the consultant into the results – who then should be taking any appropriate action?

Data from Medical Protection’s Clinical Risk Self Assessments (CRSAs) found that 83.2% of practices had potential risks associated with test ordering and results management. Although corresponding data for secondary care is lacking, it is possible that there may be pitfalls in many hospitals’ test results systems.

This article outlines two case studies and provides practical advice on how to mitigate such risks.

CASE STUDY 1

At Main Street Medical Practice, Dr G was checking all the incoming test results at 5.40pm on a Friday. He came across a mid-stream urine (MSU) result for Mrs A, demonstrating that she had a urinary tract infection (UTI). He looked in her medical record and saw that no test had been requested by the practice. On closer inspection of the result, he found that it had been ordered in the gynaecology clinic, but the result had been sent to the GP practice.

He telephoned Mrs A to inform her of the result. She told him that Dr T, the consultant gynaecologist, had treated her for thrush and had told her that “someone would be in touch” regarding her urine result.

Mrs A’s symptoms had worsened since the clinic appointment. Dr G felt that the infection required treatment, but was not clear whether Dr T was planning to be in touch with Mrs A about the result. He attempted to telephone Dr T but only received the answer phone as it was now 6pm on a Friday.

He felt that it was in Mrs A’s best interests to prescribe antibiotics rather than delay treatment over the weekend. He told her to tell Dr T, if he contacted her, that she was already on treatment for her UTI.

ADVICE

Whilst the treatment of a UTI may, to some, seem like a minor issue, this case illustrates the confusion that can occur when a GP receives a result from secondary care. It can take extra time to try to clarify who should be dealing with the result. There is also the possibility that the patient is treated twice, which is a potential safety issue.

In this situation, Dr G took appropriate action by:

• speaking to the patient
• trying to liaise with the consultant
• considering the best interests of the patient
• treating the infection.

Experts advise that there may also be potential safety issues if GPs are asked by hospital doctors to find out test results that the hospital had ordered. As workload is increasingly being shifted from secondary care, the following broad principles apply:

• The ultimate responsibility for ensuring that results are acted upon rests with the person requesting the test.
• That responsibility can only be delegated to someone else if they accept by prior agreement.
• Handover of responsibility has to be a joint consensual decision between the hospital team and the GP. If the GP hasn’t accepted that role, the person requesting the test must retain responsibility.

In the UK, NHS England has developed a set of standards for the communication of diagnostic test results when patients are discharged from hospital. Whilst developed for England, these principles also represent good medical practice in Ireland.
H was copied into the result. She assumed that Dr M considered this result, noted that the PSA test demonstrated a PSA of 13ng/ml. previous level of 9ng/ml. However, the third PSA and, although raised, remained around the For the first year Mr D’s PSA remained stable if the PSA started to rise. Dr M assumed that, since the results were being copied to Professor H, that he would arrange further follow-up for the patient. Professor H had believed that Dr M would contact him if the patient’s PSA started to rise. Mr D made a complaint to both Dr M and Professor H, as he felt there had been an opportunity to treat the cancer six months earlier.

LEARNING POINTS
It is clear from this case that the two doctors had different expectations of what would happen if the patient’s PSA started to rise. Dr M assumed that, since the results were being copied to Professor H, that he would arrange further follow-up for the patient. Professor H had believed that Dr M would contact him if the patient’s PSA started to rise. Section 23 of the Medical Council’s Guide to Professional Conduct and Ethics (2016), states that:

• Handover is the transfer of professional responsibility and accountability for some or all aspects of the care of a patient or group of patients, to another person or professional group on a temporary or permanent basis. You will hand over care when you change shift, refer a patient to secondary care or other health professionals, or when the patient returns to the care of their GP.

• When you hand over care for a patient to another healthcare professional, team and/or institution you should check they understand and accept responsibility for the patient’s care. You should pass on all relevant information about the patient and the patient’s care.

It is important for both Professor H and Dr M to reflect on the incident and determine why it occurred. They both made assumptions that did not reflect the reality of the arrangement.

In future similar cases:

• Professor H should adhere to agreed shared care arrangements in the local area and make it clear on the discharge letter whether he will be reviewing or actioning the PSA result. He should also outline at what PSA result he would wish to see the patient again.

• Dr M should clarify whether she is expected to re-refer the patient back to Urology if the PSA level rises.

• It would be helpful to have a clear agreed protocol outlining the respective agreed responsibilities.

• Any new team members should be made aware of the arrangements and Dr M may wish to put an alert on patients’ notes in such a situation.

TEST RESULT 360
A Medical Protection study found that approximately 60% of its claims in general practice related to the failure to diagnose, and many of these can be attributed to issues with test result systems.

Test Result 360 is an easy online audit tool, which costs just €120, designed to help ensure your practice has a robust test result system in place.

For more information and to register, visit medicalprotection.org/Ireland/360

REFERENCES:

The cases mentioned in this article are fictional and are used purely for illustrative purposes.
Poor communication between doctors lies at the heart of many complaints, claims, and disciplinary actions. Dr Mark Dinwoodie, Director of Education, explains the importance of maintaining good relationships with colleagues and communicating effectively with other health professionals.

CHALLENGING INTERACTIONS WITH COLLEAGUES

Our experience is that poor communication between two or more doctors providing care to patients lies at the heart of many complaints, claims and disciplinary actions. It is inevitable at some point throughout your career as a doctor that you will come across at least one colleague with whom you have issues working. It is, therefore, important to be aware of different strategies and techniques you can use to deal with this situation.

IDENTIFYING RISKS

There are many reasons why doctors may not communicate sufficient clinical information to their colleagues about patients under their care. These can include pressures of time, difficulty in accessing colleagues, and difficult relationships with them.

Changes in working patterns and the resultant increase in shift work and cross cover mean that a greater number of doctors may be involved in a patient’s care. This has increased the risk of failures in communication because passing care between doctors (in a referral or a handover) increases the possibility that patient information will not be shared optimally. As a result, abnormal investigation results may be missed, treatments may be monitored inadequately, or important comorbidities may not be taken into account, which all put the patient at risk of harm.

So what can you do to reduce the risk around interactions with difficult colleagues?

PICK YOUR BATTLES

Use your energy wisely – you might have several issues with colleagues but some will generate more risk to patients and yourself than others. It is wise to concentrate your efforts and energy on high-risk areas with the best interests of the patient at the centre of discussions.

CATCH AND STOP RISKY ASSUMPTIONS

Assumptions are a common human error that we all make. They are especially prevalent when dealing with colleagues we dislike or find challenging. We can be more likely to make an assumption relating to clinical communication rather than check with that colleague. This generates a variety of risks that can lead to catastrophic outcomes.

Checklists can reduce this type of risk. They are a useful method of ensuring completeness of communication when referring a patient, and they can be used as memory aids or integrated into the records or correspondence. They also enable doctors to focus on more complex tasks by reducing the amount of information they need to remember and process at one time.

HANDOVER

Where all responsibility for patient care is being handed over – for example, to the hospital night team or to a GP colleague when going on leave – a handover model such as SBAR (situation, background, assessment, recommendation) or the MPS SHIFT model (status of patient, history, investigations pending, fears of what may unfold, treatment planned) can be used to ensure all relevant information is passed on and recorded.

It can be useful to ask the recipient to repeat back a summary of what they have understood to confirm the accuracy of information transfer.

Other ways to reduce risk when passing care to a colleague include the use of information technology systems to automate information transfer, as well as tracking systems for referrals, investigations and follow-up to ensure safe completion of processes. Patients may also be recruited to “check” the communication between colleagues – for example, a referral letter can be dictated in their presence or they can be given a copy of their discharge summary or clinic letter. Doctors should take action if the communication they receive about a patient is inadequate.
ACTIVELY MANAGE DISAGreements

Differences of opinion between doctors also pose a risk. Disagreements may arise over diagnosis, treatment and management, as well as interpretation of investigations, resource allocation, and end of life issues. The breakdown of a working relationship between doctors can have a detrimental effect on colleagues and patient care. When raising concerns with colleagues over disagreement about patient care, you should emphasise the importance of achieving the best outcome for the patient, while maintaining dignity and respect for your colleague, and attempt to negotiate a mutually agreeable resolution.

If you think that a colleague is routinely putting you or your patient at risk through inadequate communication and your attempts to give subtle feedback have not been effective, you should raise your concerns with the colleague directly, making suggestions for improvements to enhance clinical communication and framing the conversation in terms of the risk to everyone concerned. You should emphasise that you are committed to taking action, document your concerns, and explain what you have done to tackle them. If that does not work you should discuss the matter with your clinical lead or defence organisation for support and advice on what to do next.

CASE REPORT

WE DON’T TALK ANYMORE

Mr Y, a 35-year-old marine engineer, was undergoing surgery to treat a congenital vascular lesion in the posterior compartment of the thigh. Mr O, consultant vascular surgeon, was carrying out the procedure. The lesion was closely related to the sciatic nerve and some of its branches, and Mr O was aware of the risk of damaging the sciatic bundle.

The anaesthetic was given by Dr A, consultant anaesthetist. During the induction phase Mr Y had suffered repeated generalised muscular spasms, so Dr A had given a muscle relaxant to prevent intraoperative movement of the surgical field.

Intraoperatively, Mr O used tactile stimulation to ascertain if a nerve that was likely to be compromised by his surgical approach was the sciatic nerve, or a branch of the peroneal nerve. Reassured by a lack of contraction of relevant muscle groups, he continued to operate under the impression that the structure about which he was concerned was not the sciatic nerve.

Unfortunately, in the context of neuromuscular blockade, there was no rationale for this approach. It transpired that Mr Y suffered severe foot drop as a result of extensive damage to the sciatic nerve. Mr Y sued Mr O as a result of his injuries.

The case hinged on whether Mr O had taken sufficient care in establishing the relevant anatomy during surgery. Dr A had documented in the anaesthetic record that he had given the muscle relaxant, and was adamant that he had told Mr O this fact. Mr O was insistent that Dr A had not informed him about the administration of the drug and so had left him open to the error that he made.

During an investigation of events surrounding the case it emerged there were unresolved investigations into allegations of bullying and harassment between Mr O and Dr A. In the context of how Mr Y suffered his injury, and the clinicians’ apparent failure to communicate, it was impossible to defend the case, which was settled for a moderate sum with liability shared equally between the two doctors.

LEARNING POINTS

• Effective clinical communication between healthcare professionals is essential for safe care of patients. In the context of an operating theatre, where there are anaesthetic factors that may have an impact on the surgical outcome (and vice versa), it is vital that this information is shared.

• Unresolved personal or professional disagreements between healthcare professionals who share responsibility for patients is potentially prejudicial to patient care. It is the responsibility of all who work in the clinical team, and those who manage them, to make sure that patients are protected from any adverse outcome that results from doctors not working together properly. The wellbeing of patients must always significantly outweigh the personal disagreements of doctors.

• The rights and wrongs of any argument come second to their conduct. Both individuals could find themselves the subject of investigation by the regulatory authorities.

• Independent, external professional assistance with conflict resolution may sometimes be necessary and can be extremely effective.

For more help in dealing with clinical communication between colleagues why not try our FREE workshops on Mastering Professional Interactions? To find out more and book a place, go to: medicalprotection.org/ireland/education-and-events
MENTAL HEALTH AND DOCTORS

Working in healthcare can be tough and demanding. Increased workloads, fewer resources and rising patient expectations can take their toll on a doctor’s mental health. However, admitting to having a mental health problem continues to carry a stigma and a sense of shame in the medical profession. Dr Muiris Houston examines the issue and provides advice for those doctors suffering from a mental health condition.

While the stigma around mental health remains stubbornly prevalent among the general population, the casual observer might expect it to be less of an issue among the caring professions.

However, the Medical Council’s Health Committee chair, Dr Rita Doyle, recently expressed surprise that there were only 42 medical practitioners currently being supported by the Committee.

She said: “With a register comprising over 20,000 doctors it is quite astounding that we have only 42 doctors being supported by this Committee. We either have a very healthy population of doctors or there are quite a number of ‘unwell’ doctors out there who are not getting any support, which would be a poor reflection on the ‘caring’ profession.”

The Committee’s primary role is not regulatory but to offer support to doctors with identified health problems. It is comprised of both medical personnel (primarily GPs and psychiatrists) and non-medical members, who are involved in the healthcare or medical sector.

Dr Andréé Rochfort, Director of the ICGP Doctors’ Health in Practice (HiP) Programme, said that 2015 feedback from GPs who treated colleagues reported that doctors attended for “all the same reasons that their general practice population attend for,” including mental health issues such as anxiety, depression and burnout.

The mental health stigma in the medical profession has been widely written about. Dr Ronan Kavanagh, consultant rheumatologist at the Galway Clinic, has covered this subject and his personal experiences in his writings.

“Mental illness is, for many affected doctors, a shameful secret; one that can affect how other doctors perceive your reliability as a clinician and also one which could affect your career,” he wrote.

“What’s ironic about the code of silence is that a significant proportion of doctors have experienced mental health problems. Up to a quarter of doctors will meet the criteria for a depressive illness by the end of their first year in training2 and other studies suggest that up to 51% of (female) doctors have a lifetime history of depression3. Substance and alcohol abuse are common, burnout is common and suicide rates are higher than in other professions.”

Of his own experience of depression he says: “At present, thanks to the medical care and advice I have received and the support of family I’m doing well. Most of the time. I’m more mindful of my own moods and more forgiving of myself when I make mistakes. However awful I sometimes feel, I know that it will pass eventually. I also know that, on my worst day, I’m still a conscientious and caring physician. I also firmly believe that my experience of dealing with depression has made me a better doctor.”

GPs AND WORK-RELATED STRESS

A Medical Protection survey of over 450 Irish GPs revealed that a staggering 95% of respondents had experienced work-related stress in 2014. Furthermore:

- The leading causes of stress were increased patient expectations (90%), an increasing risk of litigation (77%) and heavy workloads (75%).
- Stress had a big impact on respondents’ personal lives (80%), health and wellbeing (79%), empathy towards patients (60%) and concentration (56%).
- Nearly half (49%) enjoy their jobs but recognised that changes need to be made, whereas stress had caused almost a third (30%) of respondents to question their careers.

SEEKING SUPPORT

The former Sick Doctor Scheme has been replaced by the Practitioner Health Matters Programme. Led by Dr Ide Delargy, it provides appropriate care and support for health professionals in Ireland who may have a substance misuse problem or other mental health issues. While fully independent and separate from the regulatory bodies, it has been endorsed by the relevant professional councils, representative organisations and training bodies. The ethos of the Practitioner Health Matters Programme is “support rather than report”.

Meanwhile it would be helpful for the Health Committee of the Medical Council to be promoted as a route towards maintaining registration rather than a slippery slope to professional sanction for those with mental health issues.

Doctors are not immune to illness and mental distress. There are many mental health support services available to you, including:

- The Medical Council’s Health Committee: medicalcouncil.ie
- HIP: call 087 7519 307
- Practitioner Health Matters Programme: call 012 970 356
- RCPI Physician Wellbeing Programme: call +353 1 863 9700
- HSE National HR Employee Helpdesk: call 1850 444 925
- Medically Induced Trauma Support Services: call 1888 366 4877
- Aware: call 1800 80 48 48
- Alcoholics Anonymous Ireland: call 018 420 700
- Bodywhys: call 1890 200 444
- Pieta House: call 1800 247 247
- The Samaritans: call 116 123

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n 23 February 2013 Scotland hosted Ireland at Murrayfield. For me, it was a thoroughly miserable day, not just because we (Ireland) lost. The weather was dreichit (a beautifully descriptive Scottish colloquialism) and I was hungover. To put a top hat on things, as my sister and her husband dropped me off at the bed and breakfast I was staying in courtesy of the local council's homeless service, she turned to me and asked if I'd been drinking over the weekend. "No", I shakily replied, instantly feeling more beaten and broken than I ever had before in my life – that was saying something given how things had gone for me in the preceding 13 months.

The month before I'd phoned the Sick Doctor's Trust. I was a doctor, and I was sick. The lady on the other end of the line listened to my tale of woe. How in January 2012 I'd slipped in the snow and broken my right ankle and had not stopped crashing since then. How my then wife had asked me to leave the family home as I was too much of a risk to be in it (having passed out making the dinner for the second time in one week). How I'd been unable to work and given the ultimatum by my practice partners that if I didn't self-report to the medical council they'd report me. How, because I'd been unable to engage with that process, I was now suspended from the medical register. And, how I'd financially, socially and physically declined to the point that, in September, I faced the choice one morning of either finally throwing myself off the motorway bridge I'd earmarked, or going to A&E to get the colovesical fistula I'd been ignoring the symptoms of for weeks looked at. The A&E decision led to emergency surgery and a colostomy. It was the right one.

The lady said "gosh, you've had a really bad year haven't you?" I agreed. Then she nailed it: "Do you think alcohol might have played a part in all this?" I couldn't argue. She gave me the number for Alcoholics Anonymous (AA) and suggested I call them. I was picked up and taken to a meeting that night and given hope. Then I landed in the local hospital in delirium tremens. I was discharged the week before the rugby to present myself as homeless. Now (in my head) I was an alcoholic who had failed to recover.

The day after the rugby I got a phone call from a doctor in the local addictions service. He invited me to see him informally before my official appointment with him the following week. He told me his own story of addiction and recovery and that I wasn't alone. He was annoyingly upbeat, and challenged me that I really should be getting back to AA. He also signposted me to the British Doctors and Dentists Group (BDDG).

I went back to AA. I started to recover. Staying away from the first drink, a day at a time, helped clear my head. I did what I was told, whether I believed it would work or not. Invariably it did, because the people in the groups were speaking from experience.

I didn't think I'd ever practice medicine again. The BDDG told me different and advised me what to do. They suggested I ask Medical Protection to help with the situation I was in with the medical council. My fitness to practise hearing was due to take place in July 2013. Following a request by my Medical Protection representation it was cancelled and my suspension lifted. I had to sign up to undertakings from the medical council but these weren't unreasonable. There were issues getting on the local medical performers list and various catch-22 situations with actually finding employment, but these were overcome and I returned to work as a GP locum in April 2014. I've now gained RCGP certification in substance misuse and work predominantly in that field, though I keep my hand in as a GP.

With hindsight, I've been an alcoholic for a long time. Now I know myself I recognise that lots of the traits which led me into medicine predisposed me to developing my issues. I know I'm not alone, and I know there are many more out there teetering. There is hope and recovery is a reality.

I should close on a happy note. I've not had a drink since 23 February 2013. Since then Ireland have been Six Nations Champions twice. Things have improved immeasurably for me too. Just to my right as I type this is a letter from the medical council which arrived yesterday. They've closed my case and my undertakings have been revoked. I'm a very grateful recovering alcoholic.

We take a closer look at the effect that suffering from a mental health condition can have on a doctor. Dr Robin Moore, originally from Ireland but currently practising in Scotland, shares his personal story of addiction and recovery.
ay-to-day clinical practice is underpinned by the recognition that patients have a fundamental right to participate in decisions about their care. However, doctors sometimes face situations in which a patient cannot understand, retain or weigh-up the information provided, or cannot communicate their decision. In these cases, patients are regarded as lacking capacity to make a decision about a proposed healthcare intervention.

It has long been recognised that the law relating to those who lack capacity, enshrined in the Lunacy Regulations (Ireland) Act 1871, has not kept pace with advances in ethics and the need to ensure an approach to healthcare based on human rights.

The Assisted Decision-Making (Capacity) Act 2015, which was signed into law by the President on 30 December 2015, replaces the archaic ‘wards of court’ system and represents a paradigm shift with respect to those who lack capacity. When the legislation comes into force, there will be far-reaching implications for decision-making in cases where a patient lacks capacity.

Some of the key features of the Act are as follows:

• The functional approach to the assessment of capacity and the requirement to consider capacity in relation to a specific decision, at a specific time, is placed on a statutory footing for the first time.

• Provision for written agreements which permit a person to appoint someone else to assist with, or jointly make, decisions about their care, when their capacity is in question (or may be in question shortly).

• Provision for the Circuit Court to appoint “decision-making representatives” who can then make decisions about a patient’s welfare.

• A new regime for “enduring power of attorney” which will mean that a person appointed by the patient can assume legal authority to make decisions on the patient’s behalf when they lack capacity.

• Provision for written “advance healthcare directives” which seek to provide healthcare professionals with information about a patient’s will and preferences concerning treatment decisions, including life-sustaining treatment, in the event that they lose capacity.

Broadly speaking, the Act will significantly expand the categories of individuals who will have legal authority to make decisions on a patient’s behalf and who should be consulted by doctors dealing with patients who lack capacity to make healthcare decisions. Doctors will also need to consider how to deal with ethically challenging situations such as when a substitute decision-maker is deemed to be acting in a way which is not in the patient’s best interests.

It is anticipated that the Act will come into force in late 2016. A Code of Practice is being developed by a steering group appointed by the Health Service Executive and an ‘assisted decision-making’ education and training implementation plan will be rolled out in due course.

We will be monitoring the roll out of the legislation and keeping our members informed of developments.

FURTHER READING
To read the full Act, visit irishstatutebook.ie and click on the ‘Legislation’ link.

WHAT DO YOU THINK?
We want to hear from you.
Send your comments to casebook@medicalprotection.org
FROM THE CASE FILES

Dr Janet Page, Medical Claims Adviser, introduces this edition’s case reports

In a world in which technological advances and medical innovation abound, it is very easy to overlook the importance of the fundamental clinical skills of history taking and clinical examination. Yet, as some of the cases you will be reading about in this edition illustrate, a few extra minutes taken to ask pertinent questions and perform relevant examinations pays dividends. Not only may it result in an earlier diagnosis and improved outcome for the patient, but it could also reduce the risk of a complaint or a clinical negligence claim.

In ‘Tunnel vision’, having failed to take a proper history at the first consultation, Mrs O’s doctors fell into the trap of going along with the earlier presumptive diagnosis. Despite repeated attendances by the patient with worsening symptoms, no further history was elicited and no examination undertaken. The correct diagnosis was ultimately made when Mrs O collapsed resulting in an emergency admission to the local hospital.

In ‘Tripped up’, Master Y was reviewed twice by his GPs, Dr E and Dr B, three and seven weeks after his fall when he was still complaining of unrelenting pain, despite which there was no attempt to revisit the history and review the original diagnosis. It was only by chance that an unrelated abnormality on a knee x-ray prompted orthopaedic referral which led to the correct diagnosis being made.

Making a diagnosis is particularly challenging for patients with more than one co-existing condition, as illustrated in ‘Back to front’. In this case, a careful review of the character of Mr W’s pain after he failed to respond to treatment may have prompted consideration of alternative diagnoses.

Communication and process errors are other themes emerging from this edition’s case reports. In Mr T’s case an abnormal MSU result was marked as normal and filed in the records without action. Notwithstanding that Dr W had no record of having received the health screener’s letter, the practice’s failure to communicate the abnormal result to the patient or to flag it up in the records led to further actions which compounded the problem and was indefensible. ‘Turning a blind eye’ is another example of how a failure to communicate an abnormal result to a patient can have devastating consequences. In this case Dr L, in his desire not to alarm the patient or to disclose sensitive information in a letter, failed to convey to Mrs R the urgency of his request such that she chose to ignore it. In such circumstances it is imperative that the request is followed up if the patient fails to attend within the anticipated timeframe.

Poor communication between healthcare providers can also lead to problems, as illustrated by ‘A risk of harm’ and ‘Paediatric brain injury’. In both cases the failure to give clear, explicit and documented instructions to nursing staff led to a misunderstanding as to the level of observation required, which contributed to a delay in treatment of a post-operative complication in BC’s case and to Miss A suffering serious harm.

Finally, time and time again, we see the impact of poor record keeping on our ability to defend our members’ actions, particularly when it comes to issues of consent and providing evidence of discussions of risks and complications. The case of Mrs W and Mr D is no exception. Master Y’s doctors, Dr E and Dr B, are also criticised for their poor record keeping, and our GP expert in that case remarks on the discrepancy between their described usual practice and the paucity of the records. Today’s doctors are practising in an increasingly pressured and challenging environment in which the temptation to take shortcuts is a strong one. By continuing to practise those core skills of history taking, clinical examination and communication, doctors can reduce substantially the risk of a successful clinical negligence claim being brought against them.

At Medical Protection we are proud to say that we were able to successfully defend 74% of medical claims (and potential claims) worldwide between 2011 and 2015. We believe that through our risk management advice, and the learning taken from case reports such as these, we can help members lower their risk, and improve that figure even further.

What’s it worth?

Since precise settlement figures can be affected by issues that are not directly relevant to the learning points of the case (such as the claimant’s job or the number of children they have), this figure can sometimes be misleading. For case reports in Casebook, we simply give a broad indication of the settlement figure, based on the following scale:

- NEGLIGIBLE <€1,500
- LOW €1,500–
- MODERATE €15,000–
- SUBSTANTIAL €150,000–
- HIGH €1,500,000+
A failure to act on an abnormal test result means a serious diagnosis is missed

Mr T, a 40-year-old accountant, attended a private health check under his employer’s healthcare scheme. Blood and protein were noted on urinalysis and his eGFR was found to be 45 ml/min/1.73 m². He was asked to make an appointment with his GP and was given a letter highlighting the abnormal results to take with him.

Mr T saw his GP, Dr W, shortly after and told her that blood had been found in his urine on dip testing during a health check. Dr W arranged for an MSU to be sent to the laboratory. The MSU showed no infection or raised white cells but did confirm the presence of red blood cells. Unfortunately the result was marked as “normal” and filed in the notes without any action.

A year later Mr T saw Dr W again with a painful neck following a road traffic accident. Dr W prescribed diclofenac tablets to help with the discomfort. A week later he booked an urgent appointment because he had developed a severe headache and felt very lethargic and breathless. He was seen by Dr A, who diagnosed a chest infection and prescribed a course of amoxicillin.

Mr T went home but was taken to hospital later the same day following a fit. He was subsequently diagnosed with malignant hypertension and severe renal failure with pulmonary oedema. Again, blood and protein were found in his urine but this time his eGFR was 12 ml/min/1.73 m². Mr T stabilised but needed assessment for possible kidney transplantation.

Mr T was angry and upset about the care he had received from his GP. He alleged that he had given Dr W a letter from the private health check when he consulted with her and that she had failed to act on it. He also alleged that Dr W had failed to diagnose his renal disease or refer him to the renal team. He claimed that this delay had resulted in progression of his condition to end stage renal failure.

EXPERT OPINION
Medical Protection sought the advice of a consultant nephrologist, Dr B. Dr B was of the opinion that Mr T’s renal impairment was probably due to glomerulosclerotic disease rather than hypertension at the time of the health check. He felt that the diclofenac prescribed caused the clinical situation to deteriorate, leading to the acute presentation of severe hypertension and renal failure. He advised that if Mr T’s condition had been diagnosed earlier, this would have allowed monitoring and control of his blood pressure. It would also have been unlikely that NSAIDs would have been prescribed, thus avoiding the acute presentation. It was Dr B’s opinion that earlier diagnosis and treatment would have delayed the need for renal transplant by a period of between two to four years.

Dr W specifically denied that she had been given the letter from the private health check and indeed there was no evidence of it within the GP records. She did however accept that she had erroneously marked the MSU result as normal and had thus not taken any action. In view of this, it was agreed that Dr W was vulnerable in this matter and the case was settled for a high sum.

Learning points
• This case raises issues about communication between healthcare providers. The IMC states: “Normally, consultants will see patients following referral from their general practitioner, another consultant or treating doctor. In some cases there might be no such referral. In all cases, you should inform the patient’s general practitioner of the patient’s progress, unless the patient specifically objects.” Doctors need to consider whether their systems for receiving and recording information, written or verbal, from other healthcare providers are sufficiently robust.
• Mistakes can be easily made when working under stress with high workloads. It is important, however, to be thorough and to ensure that all elements of a test result are reviewed before marking the result as normal.
• The assessment and management of non-visible haematuria in primary care is discussed in an useful clinical review published by The BMJ in 2009.

REFERENCES
1. IMC, Guide to conduct and ethics for registered medical practitioners (2016)
2. Kelly JD, Fawcett DP and Goldberg LC, Assessment and Management of Non-visible Haematuria in Primary Care, BMJ, 338: a3021 (2009)
Mr P was a 32-year-old runner. He had a skin tag on his back that kept catching on his clothes when he ran. It had become quite sore on a few occasions and he was keen to have it removed. He saw his GP, Dr N, who offered to remove the skin tag in one of his minor surgery sessions.

The following week, Mr P attended the minor surgery clinic at his GP practice. Dr N explained that he was going to use diathermy to remove the skin tag and Mr P signed a consent form.

Mr P lay on the couch and a sterile paper sheet was tucked under him. The assisting nurse sprayed his skin with Cryogesic, a topical cryo-analgesic. The spray pooled on his back and soaked into the paper sheet. No time was left for the alcohol-based spray to evaporate. Mr P’s back was still wet when Dr N began the diathermy to remove the skin tag. Unfortunately the paper sheet caught fire along with the pooled spray on his back. Mr P suffered a superficial burn. Dr N and the nurse apologised immediately and applied wet towels and an ice pack. The burn area was treated with Flamazine cream and dressings. Mr P was left with a burn the size of a palm on his back which took two months to heal fully.

Mr P made a claim against Dr N, alleging that his painful burn had been the result of medical negligence. It is well known that alcohol-based solutions pose a risk of fire when diathermy is used, and in failing to ensure the area was dry before applying the diathermy Dr N was clearly in breach of his duty of care. Medical Protection was able to settle the claim quickly, thus avoiding unnecessary escalation of legal costs.

**Learning Points**

- Flammable fluids employed for skin preparation must be used with caution. GP practices should refer to safety data sheets before using these products. The data sheet for Cryogesic states that it “may form flammable/explosive vapour-air mixture” and that one should “ensure good ventilation and avoid any kind of ignition source.”

- The fire triangle is a simple model illustrating the three necessary ingredients for most fires to ignite: heat, fuel, and oxygen. In clinical situations such as the one described above, diathermy provides the heat and skin preparation fluids provide the fuel.

**REFERENCES**

Mrs R, a 56-year-old freelance journalist, became aware she had reduced vision in her right eye. She saw her optician who noted that her visual acuity was 6/18 in the right eye and 6/6 in the left eye. Examination confirmed a nasal visual field defect in the right eye with a normal visual field in the left eye. The right optic disc was atrophic but the left appeared normal. Mrs R’s optician referred her to the local ophthalmology emergency unit, where Dr S confirmed his findings and also detected a right afferent pupillary defect, and reduced colour vision in the right eye. He made a diagnosis of right optic atrophy and arranged blood tests to investigate this further.

Two weeks later Dr S received a telephone call from the microbiology department informing him that Mrs R had tested positive for syphilis. Dr S immediately contacted Mrs R’s GP, Dr L, informing him of the result and the need for urgent treatment.

On the same day, Dr L wrote a letter to Mrs R asking her to book an appointment. His letter said: “Please be advised that this is a routine appointment, and there is no need for you to be alarmed.” Mrs R did not take this letter seriously and no appointment was made. Dr L did not pursue the matter.

Seven months later, Mrs R was referred to Dr D in the neuro-ophthalmology clinic for deteriorating vision affecting both eyes. Dr D diagnosed bilateral optic atrophy and repeated the blood tests for syphilis. He arranged for Mrs R to be admitted to hospital, where lumbar puncture and examination of the cerebrospinal fluid confirmed the diagnosis of neuro-syphilis.

Mrs R was treated with penicillin and corticosteroids, which cleared the infection. Post-treatment visual acuity in the left eye was 6/5 but she had a severely reduced field of vision. In the right eye her visual acuity was light perception only. Although these changes had stabilised, Mrs R was assessed as legally blind.

Mrs R brought a case against her GP alleging that the delay in treatment led to her losing her sight. Due to this she had lost her driving licence which substantially reduced her earning capacity.

EXPERT OPINION
A GP expert considered that in failing to follow up on an important laboratory result, Dr L was in breach of his duty of care. Ophthalmology expert opinion concluded that the delay in treatment resulted in loss of the remaining 50% of vision in the right eye and 80% of vision in the left eye. The loss of sight impacted substantially on Mrs R’s lifestyle and earning capacity. Both the microbiology department and the ophthalmologist were deemed to have acted appropriately and promptly.

The case was settled for a substantial sum on behalf of Dr L.

Learning points
- When faced with a serious condition requiring urgent treatment you should be diligent in your attempts to communicate this to the patient promptly and sensitively.
- When communicating urgent information to colleagues, direct conversations are the most effective. It may be useful to follow a conversation with a letter as this may reinforce a point and prompt further action. A letter on its own may be insufficient in that it may be mislaid, misfiled or the importance not understood.
- When communicating sensitive information to patients a face-to-face consultation is most appropriate. Communicating such information in writing could lead to misunderstanding, a breach of confidentiality, or may downplay the urgency of the matter.
- Be aware of local practice: the management of neuro-syphilis is often initiated through neurology or medical teams and the ophthalmologist should consider direct referral when the condition is sight threatening. Ophthalmologists should also be prepared to discuss laboratory results with patients and, where appropriate, emphasise the need for prompt treatment.

AK
TRIPPED UP

A child is unable to weight bear after a fall

Master Y, aged nine, was walking home from school when he tripped over and fell. He was usually very stoical but after the fall he cried with pain when he tried to stand on his right leg. His mother took him into the local Emergency Department (ED) where, after a brief examination, he was discharged home with a diagnosis of a torn quadriceps muscle. No x-rays were taken. He was advised to avoid weight bearing for two weeks.

Master Y was no better three weeks later. His mother rang their GP, Dr E, who saw him the same day. Dr E noted the history of a fall and recorded only “tender ness” and “advised NSAID gel and paracetamol”.

Master Y continued to complain of pain in his thigh and also his knee. A month later, he saw another GP, Dr B, who assessed him and diagnosed “musculoskeletal pain”. There was no record of any examination. Master Y’s knee pain continued over the next month. Dr B reviewed him and arranged an x-ray of his knee. The only entry on the records was “pain and swelling right knee”.

The x-ray showed signs of osteoporosis and features consistent with possible traumatic injury to the right proximal tibial growth plate. The report advised an urgent orthopaedic opinion, which Dr B arranged.

The orthopaedic surgeon noted an externally rotated and shortened right leg. An urgent MRI revealed a right-sided slipped upper femoral epiphysis and Master Y underwent surgery to stabilise it. The displacement was such that an osteotomy was required later to address residual deformity.

Despite extensive surgery Master Y was left with a short-legged gait and by the age of 16 he was increasingly incapacitated by pain in his right hip. Surgeons considered that he would need a total hip replacement within ten years, and that a revision procedure would almost certainly be required approximately 20 years after that.

A claim was brought against GPs Dr E and Dr B, and the hospital for failing to diagnose his slipped upper femoral epiphysis. It was alleged that they failed to conduct sufficiently thorough examinations, arrange imaging and refer for timely orthopaedic assessment.

EXPERT OPINION

Medical Protection instructed a GP expert who was critical of both GPs’ unacceptably brief documentation. He noted the discrepancy between what was actually written down by the GPs in the contemporaneous records and their subsequent recollection of their normal practice. The expert felt that their care fell below a reasonable standard.

Medical Protection also obtained an opinion from a consultant orthopaedic surgeon. The expert was critical of the assessment undertaken in the ED and advised that knee pain can be a feature of slipped upper femoral epiphysis. The expert considered that the fall caused a minor slippage of the right upper femoral epiphysis, which was a surgical emergency and the appropriate management would have been admission for pinning of the epiphysis in situ. In the presence of a slight slip and subsequent fusion of the epiphysis, recovery without functional disability would have been expected. As a consequence of failure to diagnose an early slip, Master Y lost the chance of early correction. Instead, he developed a chronic slippage with associated disability necessitating osteotomy.

The case was settled for a high sum, with a contribution from the hospital.

Learning points

- Slipped upper femoral epiphysis is a rare condition in general practice. It usually occurs between the ages of eight and 15 and is more common in obese pain in this age group.
- Because patients often present with poorly localised pain in the hip, groin, thigh or knee, it is one of the most commonly missed diagnoses in children. Pain can cause diagnostic error and orthopaedic examination should include referred examination of the joints above and below the symptomatic joint.
- The medical records were inconsistent with the GPs’ accounts. When records are poor it is very difficult to successfully defend a doctor’s care. Additionally, the IMC states: “You must keep accurate and up-to-date patient records either on paper or in electronic form. Records must be legible and clear.”
- Safety-netting is important and follow-up should be arranged if patients are not improving or responding to treatment. This should prompt a thorough review and reconsideration of the original diagnosis

REFERENCES

2. IMC, Guide to Conduct and Ethics for Registered Medical Practitioners (2016)
Mrs O, a 34-year-old mother of three, visited her GP with a two-month history of worsening vaginal discharge which had recently become malodorous. Her husband had urged her to see the doctor as he was particularly concerned when she had admitted to the discharge being blood-stained.

The first GP she saw, Dr A, took a cursory history and simply suggested she should make an appointment with the local genitourinary medicine (GUM) clinic. Of note, Dr A didn’t enquire about the nature of the discharge, associated symptoms or note that she had not attended for a smear for over five years, despite invitations to do so. Dr A did not examine Mrs O, nor did he arrange investigations or appropriate follow-up. Mrs O was deeply offended that Dr A had implied the discharge was likely to be secondary to a sexually transmitted infection and did not feel the need to attend a GUM clinic.

She re-presented to another GP, Dr B, several months later complaining that her discharge had worsened. Dr B reviewed the previous notes and encouraged her to make an appointment with the GUM clinic as previously recommended by Dr A. There was no evidence from the notes that a fresh review of the history had been undertaken. No examination was performed and Dr B did not arrange vaginal swabs or scans despite Mrs O’s continued discharge.

A week later, Mrs O re-attended the surgery where Dr B agreed to try empirical clotrimazole on the premise she may be suffering from thrush. Again, no examination or investigations were discussed, and there was no evidence of safety-netting advice documented in the records.

Two months later, Mrs O saw a third GP, Dr C, as the clotrimazole had failed to resolve her worsening symptoms. By now she had started to lose weight, had developed urinary symptoms, and her bloody vaginal discharge had worsened. Despite her malaise and pallor, Dr C again failed to take an adequate history or examine Mrs O and further reinforced the original advice that Mrs O attend the GUM clinic.

Mrs O collapsed later that week and was taken by ambulance to the Emergency Department (ED) of her local hospital. She was found to have urosepsis and was profoundly anaemic with a haemoglobin of 60 g/l. Examination by the ED team revealed a hard, irregular malignant-looking cervix and a large pelvic mass. She was admitted under the gynaecology team, who arranged an urgent scan. The scan revealed an advanced cervical cancer with significant pelvic spread and bulky lymphadenopathy.

After an MDT meeting and a long discussion with her oncologist, Mrs O and her husband elected to try a course of neoadjuvant chemotherapy and debulking surgery. Unfortunately, prior to surgery, she experienced severe pleuritic chest pain and a working diagnosis of pulmonary embolism was made. Further investigations excluded embolic disease but confirmed tumour deposits in the lung and liver.

It was agreed she would forego chemotherapy and Mrs O was referred to the palliative care team. Her symptoms were managed in the community until her death at home two months later.

A claim was brought against all three GPs for failure to take adequate histories, failure to examine, failure to accurately diagnose and failure to safety net. An expert witness was highly critical of the care Mrs O received by all the GPs involved and advised that her death was potentially avoidable with better care and a more robust smear recall system. Breach of duty and causation were admitted and the family’s claim was settled for a high amount.

Learning points
- Failure to take an adequate history and examination will make any case difficult to defend.
- It is not advisable to reinforce a colleague’s diagnosis or management advice without first conducting your own assessment of the patient’s symptoms.
- Alarm bells should ring if patients return multiple times for the same problem.
- Where clinically relevant, a screening test should be offered opportunistically to patients who fail to respond to routine invitations.

EXPERT OPINION
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CASE REPORTS

AN UNLUCKY TUMMY TUCK

A patient is unhappy with the outcome of cosmetic surgery

A 34-year-old lady, Mrs C, consulted a private plastic surgeon, Mr Q, about her lax abdominal skin. Nine days later, she was admitted under his care for an abdominoplasty procedure (tummy tuck). The procedure was uneventful and the patient was discharged after 24-hours.

A fortnight later, at a post-operative nurse-led clinic, Mrs C complained of lower abdominal swelling. This was identified as a seroma and she was briefly admitted for aspiration by Mr Q.

Three months later she was seen again at a nurse-led clinic, on this occasion complaining of peri-umbilical pain. She was reviewed two days later by Mr Q himself, whose examination noted nothing amiss. Her symptoms continued and four months later her GP referred her to the local general hospital, raising the possibility of an incisional hernia. Mr Q was contacted by the hospital and reviewed Mrs C again. He offered to perform a scar revision and to waive his fee.

Three months after this revision surgery was performed, Mrs C had further problems around the scar site, this time manifesting itself as an infection, which developed into an abscess. Initially her GP treated this with antibiotics and dressings. However, despite this intervention, she was seen again by Mr Q, who re-admitted Mrs C for drainage of the abscess and revision surgery to the scarring around the umbilicus.

Mrs C was unhappy with the cosmetic result, and after her discharge from hospital, Mr Q referred her to a colleague, Mr H, for a further opinion. Mr H reviewed Mrs C and replied that in his view the umbilicus and the horizontal scar were placed too high, and he recommended a further revision.

Subsequently, Mr Q received a letter of claim from Mrs C’s solicitors alleging that the surgery had been carried out negligently and she had been left with an unsatisfactory cosmetic outcome requiring further surgery.

EXPERT OPINION

An expert opinion obtained by Medical Protection was critical of a number of aspects of Mr Q’s management, including the positioning of the incision line, consent issues around scarring, and some technical aspects of Mr Q’s wound closure methods.

In the light of the expert’s comments the case was settled for a moderate amount.

Learning points

A patient’s decision to make a claim against his or her clinician often reflects more than one point of dissatisfaction or poor performance. Some of the important points in this case include:

• The interval between Mrs C having her first consultation with her surgeon and the subsequent operation was just nine days. When cosmetic surgery is being considered it is good practice to allow a cooling off period of at least two weeks before the surgery. The patient should be provided with, or directed to, sources of information about the proposed procedure. It is also best practice to offer patients a second consultation, which allows the patient to discuss any doubts or questions which may have arisen. Patients should be under no pressure to proceed with aesthetic surgery.

• Complications can occur after any surgery. In abdominoplasty, issues of scarring and the formation of seromas can occur. It is vital that these possibilities are discussed during the pre-procedure consultations. It is insufficient to simply list them on a consent form, signed in a rush on the morning of operation by a nervous patient.

• It is vital to ensure careful documentation of the pre-procedure consultations. This should outline what has been discussed, including the alternatives, potential outcomes and possible risks associated with any procedure. You should also document any literature that has been supplied to the patient or sources of information that were signposted.

• Aesthetic surgery requires a strong element of psychological understanding of the patient, and patients need to feel supported by their surgeon. Good communication and timely reviews are essential in maintaining a good relationship.

• Being asked to provide a second opinion can be an extremely challenging task, particularly where you may disagree with the original doctor. In this case, Mr H was critical of the repeat surgery carried out by Mr Q. Doctors should always convey their honest opinion to patients. However you should consider the effect that the manner you express an opinion can have. Excessive or derogatory comments to a patient about a colleague are unlikely to be helpful and may encourage a patient to complain or pursue a claim.
MISS A, a 30-year-old teacher, saw Dr W, a consultant psychiatrist, in the outpatient clinic. Dr W noted Miss A’s diagnosis of bipolar affective disorder, her previous hospital admission for depression and her history of a significant overdose of antidepressant medication. Dr W found Miss A to be severely depressed with psychotic symptoms. Miss A reported thoughts of taking a further overdose and Dr W arranged her admission to hospital.

During Miss A’s admission Dr W stopped her antidepressant medication, allowing a wash-out period before commencing a new antidepressant and titrating up the dose. He increased Miss A’s antipsychotic medication and recommended she be placed on close observations due to continued expression of suicidal ideation. He documented that Miss A appeared guarded and perplexed, did not interact with staff or other patients on the ward, and spent long periods in her nightwear, lying on her bed. He did not document the content of her suicidal thoughts. Dr W reiterated to nursing staff that close observation should continue.

During the third week of her admission, Miss A asked to go home. Miss A’s named nurse left Miss A alone to contact the team doctor to ask whether Miss A required assessment. While alone in her room, Miss A set fire to her night clothes with a cigarette lighter. Miss A claimed that she would not have suffered the severe burns and subsequent post-traumatic stress disorder if not for these failings.

**EXPERT OPINION**

An expert opinion was sought from a psychiatrist. The expert made no criticism of the medication regimen or changes to it, but was critical of the communication between Dr W and nursing staff over the meaning of the words “close observation”, and the lack of a policy setting this out. She was also of the view that additional nursing staff should have been requested to ensure one-to-one nursing of the patient during her admission. She was critical of the hospital for allowing the patient access to a lighter on the ward, and concluded that the incident could have been avoided if these failures had not occurred.

Dr W acknowledged Miss A had been the most unwell patient on the ward at the time and in hindsight agreed that additional nursing staff should have been requested. Dr W highlighted that there was pressure on consultants not to request additional nursing staff due to cost implications. He also acknowledged that by close observations he had expected the patient to be within sight of a member of nursing staff at all times but had not ever communicated this specifically to the ward staff.

The claim was settled for a substantial sum, with the hospital contributing to the settlement.
A three-year-old child, BC, was admitted to hospital for investigation following an epileptic fit. A CT scan demonstrated a left-sided Sylvian fissure arachnoid cyst with bulging of the overlying temporal bone (but no midline shift).

BC underwent cyst drainage with insertion of a shunt under the care of Mr S, a consultant paediatric neurosurgeon, but it was complicated by an intracranial bleed. Intraoperative exploration revealed that there had been an injury to the temporal lobe that was likely to have been associated with the insertion of the ventricular catheter (which was not inserted entirely under direct vision). The haemorrhage was under control when the operation was concluded.

Following the surgery, BC was transferred to the paediatric ward as a high care patient. Mr S left the hospital having handed over care to Dr K, a consultant paediatrician, and Mr P, a consultant neurosurgeon. Mr S explained that BC had had an intra-operative bleed, that a clotting screen should be checked (to exclude an underlying bleeding disorder) and that regular neurological observations should be undertaken. Unfortunately the handover discussions were not documented in the records.

BC remained stable until early evening when Dr K was asked by the nursing staff to review her because she had started to vomit and had developed a dilated left pupil. A repeat scan demonstrated a haematoma in the Sylvian fissure with consequent displacement of the shunt, impingement of both the temporal and parietal lobes, together with a midline shift. Mr P was called and immediately returned BC to theatre in order to evacuate the haematoma.

Unfortunately BC sustained a neurological injury which left her with a right-sided hemiparesis, cognitive difficulties and ongoing epilepsy.

The parents pursued a claim alleging:
- the original procedure was not indicated (and that non-surgical approaches were not considered)
- the shunt was negligently inserted, which led to the bleeding and associated brain injury
- the bleeding was not adequately controlled in the context of the first procedure
- BC should have been transferred to a paediatric intensive care facility in order that her neurological condition could have been intensively monitored.

EXPERT OPINION
Medical Protection sought an expert opinion from a consultant paediatric neurosurgeon, who was not critical of Mr S’ decision to drain the cyst and insert a shunt. However, concerns were raised in relation to the operative technique which, the expert said, was not according to standard practice. The expert indicated that the preferred approach would be to insert the ventricular catheter under direct vision and postulated that there may have been damage to one of the branches of the middle cerebral artery.

The expert was not critical of the decision to transfer BC to a paediatric ward (on the basis that she did not require ventilation and that the monitoring facilities on the ward were appropriate) but was concerned about the lack of written and verbal instructions (particularly directed towards the nursing staff) relating to the post-operative care and neurological observations. In addition, the expert was of the opinion Mr S should have reviewed BC on the ward given that he had performed a surgical procedure on her that had been complicated by bleeding.

In light of the vulnerabilities highlighted by the expert, the claim was resolved by way of a negotiated settlement.

Learning points
- The allegations were wide-ranging and although the expert was supportive of some aspects of Mr S’ involvement in BC’s care, the concerns in relation to the operative technique and handover meant that there was no realistic prospect of successfully defending the case.
- The case emphasises the importance of communication and record keeping, particularly with reference to providing clear verbal and written handover to all relevant staff.
- It may be entirely appropriate to leave the care of a patient in the hands of colleagues at the end of a shift but it would have assisted Mr S’ defence if he had reviewed BC on the ward post-operatively in light of the fact that the neurosurgical procedure had been complicated by bleeding.

Further reading
IMC, Guide to Professional Conduct and Ethics for Registered Medical Practitioners, Section B8, Continuity of Care

RS
Mr W was a 55-year-old diabetic who worked in a warehouse. He began to get pain across his shoulders when he was lifting boxes and walking home. He saw his GP, Dr I, who noted a nine-month history of pain in his upper back and around his chest on certain movements. She documented that the pain came on after walking and was relieved by rest. Her examination found tenderness in the mid-thoracic spine area. Dr I considered that the pain was musculoskeletal in nature and advised anti-inflammatory medication and a week off work.

Two weeks later Mr W returned to his GP because the pain had not improved. This time Dr I referred him to physiotherapy. Mr W did not find the physiotherapy helpful and four months later saw another GP, Dr J, who diagnosed thoracic root pain and prescribed dothiepin. He also requested an x-ray of his spine, which was normal, and referred him to the specialist. The referral letter described pain worse on the left side that was brought on by physical activity and stress.

The specialist documented a two-year history of pain between the shoulder blades. The examination notes stated that direct pressure to a point lateral to the thoracic spine at T6 could produce most of the pain. Myofascial pain was diagnosed and trigger point injections were carried out.

Three months later Mr W was still struggling with intermittent pain in his upper back. He went back to see Dr J, who referred him to orthopaedics. His referral letter described pain in the upper thoracic region with radiation to the left side, aggravated by strenuous activity and stress. Again, it was recorded that the pain was reproduced by pressure to the left thoracic soft tissues.

Two months later Mr W was assessed by an orthopaedic surgeon who diagnosed ligamentous laxity and offered him sclerosant injections.

Mr W took on a less physically demanding role and the pain came on less often. After a year, however, his discomfort increased and his GP referred him back to the orthopaedic team.

A consultant orthopaedic surgeon found nothing of concern in his musculoskeletal or neurological examination. X-rays were repeated and reported as normal. It was thought that his symptoms were psychosomatic and he was discharged.

Six months later, Mr W was struggling to work at all. He rang his GP surgery and was given an appointment with a locum GP, Dr R. Her notes detailed a several year history of chest and back pain on lifting and exercise that had worsened recently. Pain was recorded as occurring every day and being “tight” in character. It was also noted that he was diabetic, smoked heavily and that his mother had died of a myocardial infarction at the age of 58. Dr R referred him to the rapid access chest pain clinic.

Angina pectoris was diagnosed and an ECG indicated a previous inferior myocardial infarction. Mr W was found to have severe three-vessel disease and underwent coronary artery bypass grafting, from which he made an uncomplicated recovery. He was followed up in the cardiology clinic and continued to be troubled by some back pain.

Mr W brought a claim against GPs Dr I and Dr J for the delay in diagnosis of his angina.
CASE REPORTS

Learning points

• Pain that is precipitated by exertion should always raise suspicion of angina pectoris. NICE defines stable angina symptoms as being:
  - constricting discomfort in the front of the chest, in the neck, shoulders, jaw, or arms
  - precipitated by physical exertion
  - relieved by rest or glyceryl trinitrate (GTN) within about five minutes.

• People with typical angina have all three of the above features. People with atypical angina have two of the above features.

• Angina can present in uncharacteristic ways. There can be vague chest discomfort or pain not located in the chest (including the neck, back, arms, epigastrium or shoulder), shortness of breath, fatigue, nausea, or indigestion-like symptoms. Atypical presentations are more frequently seen in women, older patients and diabetics. 2

• Multiple conditions can run alongside each other and we must try to untangle them by careful questioning and listening. Stepping back and looking at the bigger picture can help if patients’ symptoms are persistent.

• Confirmation bias can lead to medical error. The interpretation of information acquired later in a medical work-up might be biased by earlier judgments. When we take medical histories it can be tempting to ask questions that seek information confirming earlier judgements, thus failing to discover key facts. We also can stop asking questions because we have reached an early conclusion. The BMJ published an article about the cognitive processes involved in decision making and the pitfalls that can lead to medical error. 3

REFERENCES

1. NICE, Chest Pain of Recent Onset: Assessment and Diagnosis of Recent Onset Chest Pain or Discomfort of Suspected Cardiac Origin (2010)

EXPERT OPINION

Medical Protection sought the advice of an expert GP, Dr U. Dr U pointed out that Mr W appeared to have two chest pain syndromes: coronary artery disease causing angina, and chronic musculoskeletal pain causing back and chest pain, as evidenced by some continuing musculoskeletal pain even after his coronary surgery. She thought that his angina had presented in a very atypical manner with features that had reasonably dissuaded the GPs and specialists from making the diagnosis. She supported the GPs’ early management but believed that angina should have been considered when Mr W failed to respond to treatment. Dr U commented that pain brought on by stress and exertion should have raised suspicions of angina. She also felt that the GPs should have assessed cardiovascular risk factors sooner.

An opinion from a consultant cardiologist, Dr M, was also sought. Dr M explained that diabetic patients are more likely to have atypical presentations of angina and that, depending on which part of the heart is deprived of blood supply, the pain can sometimes be more posteriorly situated. He commented that if Mr W had been diagnosed earlier he would have commenced aspirin, statin, and beta-blocker therapy and been advised to stop smoking. This would have reduced his risk of myocardial infarction. Dr M believed that if this had been prevented Mr W’s life expectancy could have been improved.

Based on the expert opinion the case was deemed indefensible and was settled for a high amount.
A MISSED OPPORTUNITY?

A patient suffers complications following spinal surgery

Mrs W, a 58-year-old business manager, consulted Mr D, an orthopaedic surgeon, with exacerbation of her chronic back pain. She had a history of abnormal clotting and had declined surgery three years earlier because of the attendant risks. An MRI scan confirmed degenerative spinal stenosis for which Mr D recommended an undercutting facetectomy to decompress the spinal canal while preserving stability. On this occasion, Mrs W agreed to the proposed procedure. Surgery was uneventful, and she was discharged home on the fourth post-operative day.

At her outpatient review 11 days later, Mrs W complained that she had been unable to open her bowels and that she had also developed a swelling at the wound site, from which Mr D aspirated “turbid reddish fluid”. Suspecting a dural leak, Mr D undertook a wound exploration, which confirmed that the dura was intact. At the same time, a sacral haematoma was evacuated. In the two years following surgery, Mrs W was seen by Mr D and a number of other specialists complaining of ongoing constipation, urinary incontinence and reduced mobility, which, although atypical, was thought to be due to cauda equina syndrome.

Mrs W brought a claim against Mr D, alleging that she had not been advised of the risks of the surgery and that no alternative options were offered to her. Furthermore, she claimed that she had been properly advised, she would have declined surgery, as indeed she had done in the past. She also alleged that Mr D failed to arrange appropriate post-operative monitoring such that her developing neurological symptoms were not acted on, and that she should have undergone an urgent MRI, which would have revealed a sacral haematoma requiring immediate evacuation.

EXPERT OPINION
An orthopaedic expert instructed by Medical Protection made no criticism of the conduct of the surgery, but was very critical of the poor quality of Mr D’s clinical records. Although Mr D was adamant that the risks of surgery and alternative treatment options were discussed with Mrs W, he made no note of this in the patient’s records nor did he make reference to any such discussions in his letter to the GP. Furthermore, despite Mr D’s assertions that he reviewed Mrs W every day post-operatively prior to her discharge, he made no entries in the records to this effect, stating that he had relied on the nurses to do so. The nursing records did not corroborate this.

The claim was predicated on the basis that Mrs W suffered from cauda equina syndrome and that earlier intervention to evacuate the haematoma would have improved the outcome. In the expert’s opinion, there was insufficient evidence to support a diagnosis of cauda equina syndrome, hence it was unlikely that earlier decompression would have made a difference. However, the absence of documentary evidence of her post-operative condition made it very difficult, if not impossible, to rebut this claim.

In any event, Mrs W would have been successful in her claim if she could establish that she was not properly advised of the risks and alternative options, and that if she had been she would have not proceeded with the surgery. This is because, on the balance of probabilities, the complications she suffered would not have occurred had she been properly counselled. The absence of any record of the advice given, coupled with the documented reasons for her earlier refusal of surgery lent significant weight to Mrs W’s claim.

On the basis of the critical expert report the claim was settled for a substantial sum.
Thank you for the latest edition of Casebook which I found informative. However I would like to draw your attention to what I believe are a couple of mistakes in the learning points to your article ‘Diagnosing pneumonia out of hours’.

The second paragraph of the advice given states: “According to NICE guidance...GPs should use the CURB65 score to determine the level of risk...One point is given for confusion (MMSE 8 or less ...)”.

I believe that NICE’s guidance for GPs is to use the CRB65 algorithm, and this appears to be the algorithm referred to in the rest of the article. The CURB is slightly different, includes a blood test for urea and is intended mainly for hospital use.

More importantly, NICE advises doctors to assess confusion using the Abbreviated Mental Test Score (AMTS),¹ not the Mini Mental State Examination (MMSE)² as stated in the article. The AMTS is scored out of 10, the MMSE out of 30; so whilst a score of 8/10 on the AMTS is consistent with mild confusion (allowing for the crudity of the AMTS), a score of 8/30 on the MMSE would be indicative of very severe confusion. Use of the MMSE in an acute respiratory infection would be time-consuming and could give false assurance.

Dr Brian Murray

Response

Thank you for pointing out the two errors in the case report from the last edition. You are correct that it should have been the CRB65 algorithm and the Abbreviated Mental Test Score that were referred to. We regret that these were not picked up on clinical review and we apologise for any confusion caused.

Dr Douglas Salmon

A FAMILY MATTER

I read the case study regarding the doctor prescribing an antibiotic for her daughter. Having retired recently after 25 years as a GP partner it surprises me that common sense is not applied by the GMC in such circumstances.

How this can ever be considered a serious complaint baffles me. Being a GP is stressful enough, and cases like these make me angry that as a profession we have to suffer such indignity when we can’t be trusted to treat our families for minor illnesses.

Dr M Shah

PROBLEMATIC ANAESTHETIC

I read with interest the unfortunate case of neurological injury following attempted paravertebral blockade.

What the learning points do not mention is the expert opinion that this procedure should have been performed awake or under light sedation. Many anaesthetists perform this procedure under anaesthesia with exemplary results, but I have to agree with the expert opinion. When struggling with a procedure we can sometimes get too preoccupied with succeeding. Awake patients do not like needles in places where they should not be and this helps prevent multiple attempts by the operator. In this case it may have led to the doctor abandoning this unnecessary procedure.

Dr Mohammed Akuji

REFERENCES


We welcome all contributions to Over to you. We reserve the right to edit submissions. Please address correspondence to:
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OMNIFOCUS (IOS, MAC)
OMNI GROUP
omnigroup.com/omnifocus

Review by: Dr Jennifer Munroe-Birt

The Omnifocus app can’t technically grant you the extra ten hours a day that everyone wishes they had, but what it can do is focus you, organise you, and maximise your productivity so you do in fact seem to end up with more time. At first glance it doesn’t seem much of an upgrade on a to-do list – albeit a rather expensive one – but further inspection reveals an intuitive, multi-level application that will afford you levels of organisation you always assumed were beyond you.

For doctors, the app is useful to arrange and categorise the abundance of tasks at hand (projects, meetings, CV, CPD). You can easily categorise individual tasks into bigger projects (holiday, that audit you’ve been meaning to finish all year) and assign deadlines to each task. Being able to break each ‘project’ into smaller, more manageable chunks will appeal to anyone who has sat down to start a big piece of work and found themselves still on Facebook half an hour later because they are too daunted to take the first step.

Each project can be contextualised to various aspects of your life, and each ‘context’ can be location-based using GPS. This way Omnifocus knows when you’re at home (‘paint shelves’), when you’re at work (‘arrange educational supervisor meeting’), or even when you’re walking past the supermarket (‘buy mustard’).

One of my favourite features is the ability to defer certain tasks once they are out of your control (for example, if you’ve sent an email and are waiting for a reply) and bring them back into view again once you’re required to respond. It seems obvious, but this minor tweak to the interface saves you scrolling through irrelevant tasks, making you feel more motivated and focused on the things that you are able to control.

Currently the app is limited in a clinical setting primarily due to confidentiality issues. Perhaps one day our archaic bleeps will be replaced with hospital-issue encrypted smartphones with apps such as Omnifocus to help co-ordinate tasks...but I won’t hold my breath.

RISE
By Sian Williams

Review by: Rosie Wilson

Rise describes itself as a “psychological first aid kit” and it’s easy to see how – to a certain reader – it could serve as just that. The autobiographical book follows BBC newsreader Sian Williams’ journey through the treatment of, and recovery from, breast cancer.

From a doctor’s perspective, it is interesting to see the patient’s perception of her medical journey. The book includes a lot of medical jargon, records of what was told to Williams, followed immediately by her confessions of feeling confused and overwhelmed. It can be easy to forget how alien all the information about a disease or condition is to a patient when you’ve been immersed in it for years.

Treat Rise almost as a manual, then; Williams talks in detail about the doctors she liked – and the ones she didn’t – and the differences in their treatment of her. Compassionate, matter-of-fact and not at all pandering, Williams’ accolades for her favourite doctors reflect the sort of praise we might want to hear about ourselves professionally.

From a general human perspective though, the reader is struck by the emotion and candour of the book. Williams’ accolades for her favourite doctors reflect the sort of praise we might want to hear about ourselves professionally.

Thanks to her background as a journalist, Williams understands the balance between facts and feeling. The book is an insight into the typical everyday thoughts of a patient going through long-term treatment – not just for cancer, but for anything that has an impact on day-to-day living.
The Mastering workshops should be compulsory.
Very informative.

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